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Box-502  
#162

ATTORNEY DOCKET NUMBER: 0342941-0065 (HU01275-96C)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ptashne, et al. Examiner:  
Serial No.: 09/943,944 Art Unit: 1645  
Filed: August 31, 2001  
For: TRANSCRIPTIONAL ACTIVATION SYSTEM, ACTIVATORS, AND USES  
THEREFOR

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**STATEMENT UNDER 37 CFR §1.821**

Applicant encloses herewith a paper copy and a computer-readable diskette copy of the Sequence Listing required under 37 C.F.R. §1.821 - §1.825 for the above-referenced patent application. The undersigned states that the content of the paper copy of the Sequence Listing contains the identical information as does the computer-readable diskette copy. Please charge any fees that may be associated with this matter, or credit any overpayments, to our Deposit Account No. 03-1721.

Respectfully submitted,

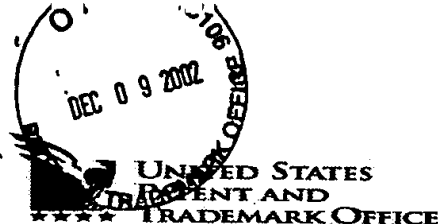
  
Brenda Herschbach Jarrell  
Agent for Applicant  
Reg. No. 39,223

CHOATE, HALL & STEWART  
Exchange Place  
53 State Street  
Boston, MA 02109  
Phone: (617) 248-5000  
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Dated: 12/3/2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on 012-03-02  
Sandra Saccocio



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,944	08/31/2001	Mark Ptashne	0342941-0065 (HU01275-96C)

24280  
Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109

**CONFIRMATION NO. 2061**

**FORMALITIES LETTER**



\*OC000000008990091\*

Date Mailed: 10/22/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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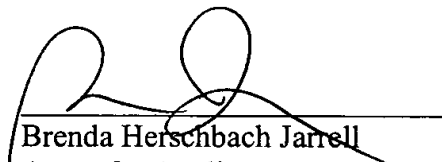
**TRANSMITTAL LETTER**

Enclosed herewith are the following:

1. Transmittal Letter (1 page)
2. Statement Under 37 C.F.R. §1.821 (1 page);
3. Paper copy of Substitute Sequence Listing (68 pages);
4. Computer-readable diskette copy of the Substitute Sequence Listing;
5. Return Postcard.

Please charge any other fees that may be associated with this matter, or credit any overpayments, to our Deposit Account No. 03-1721.

Respectfully submitted,

  
Brenda Herschbach Jarrell  
Agent for Applicant  
Reg.No. 39,223

ATTORNEYS FOR APPLICANT  
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53 State Street  
Boston, MA 02109  
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Date: 12/3/2002

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I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail in an envelope addressed to: Assistant  
Commissioner For Patents, Washington, D.C. 20231  
on 12-03-02  
Sandra Saccoccia